



AUG 22 2002

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#1
2152
08/19/02

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/935,016

Filing Date August 21, 2001

First Named Inventor John C. Ruttenberg

Group Art Unit 2152

Examiner Name

Total Number of Pages in This Submission

5

Attorney Docket Number PA1686US

RECEIVED

AUG 26 2002

100

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Postcard; form PTO/SB/08 (2 copies); 5 references

Remarks

Total page number does not include references, postcard and check(s), if applicable.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Carr & Ferrell, LLP Cust. No. 22830

Signature

Wendi R. Schepler Reg. No. 43,091

Date

8/19/2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 19, 2002

Typed or printed name

Wendi R. Schepler, Reg. No. 43,091

Signature

Wendi R. Schepler

Date

8/19/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: John C. Ruttenberg et al.

SERIAL NO.: 09/935,016

FILED: August 21, 2001

TITLE: System and Method for Scheduling and Executing Data Transfers over a Network

EXAMINER: Unknown

GROUP ART UNIT: 2152

ATTY.DKT.NO.: PA1686US

RECEIVED

AUG 26 2002

Technology Center 2100

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO/SB/08 listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.



No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,

John C. Ruttenberg et al.

Dated: 8/19/2002

By: Wendi R. Schepler
Wendi R. Schepler Reg. No. 43,091
Carr & Ferrell LLP
2225 East Bayshore Road, Suite 200
Palo Alto, CA 94303
TEL: (650) 812-3400
FAX: (650) 812-3444

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Dated: 8/19/2002

By: Wendi R. Schepler
Wendi R. Schepler

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1-149A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p> <p>Sheet 1 of 1</p>				<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>09/935,016</td> </tr> <tr> <td>Filing Date</td> <td>August 21, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>John C. Ruttenberg</td> </tr> <tr> <td>Group Art Unit</td> <td>2152</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>PA1686US</td> </tr> </table>		Application Number	09/935,016	Filing Date	August 21, 2001	First Named Inventor	John C. Ruttenberg	Group Art Unit	2152	Examiner Name		Attorney Docket Number	PA1686US
Application Number	09/935,016																
Filing Date	August 21, 2001																
First Named Inventor	John C. Ruttenberg																
Group Art Unit	2152																
Examiner Name																	
Attorney Docket Number	PA1686US																

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.